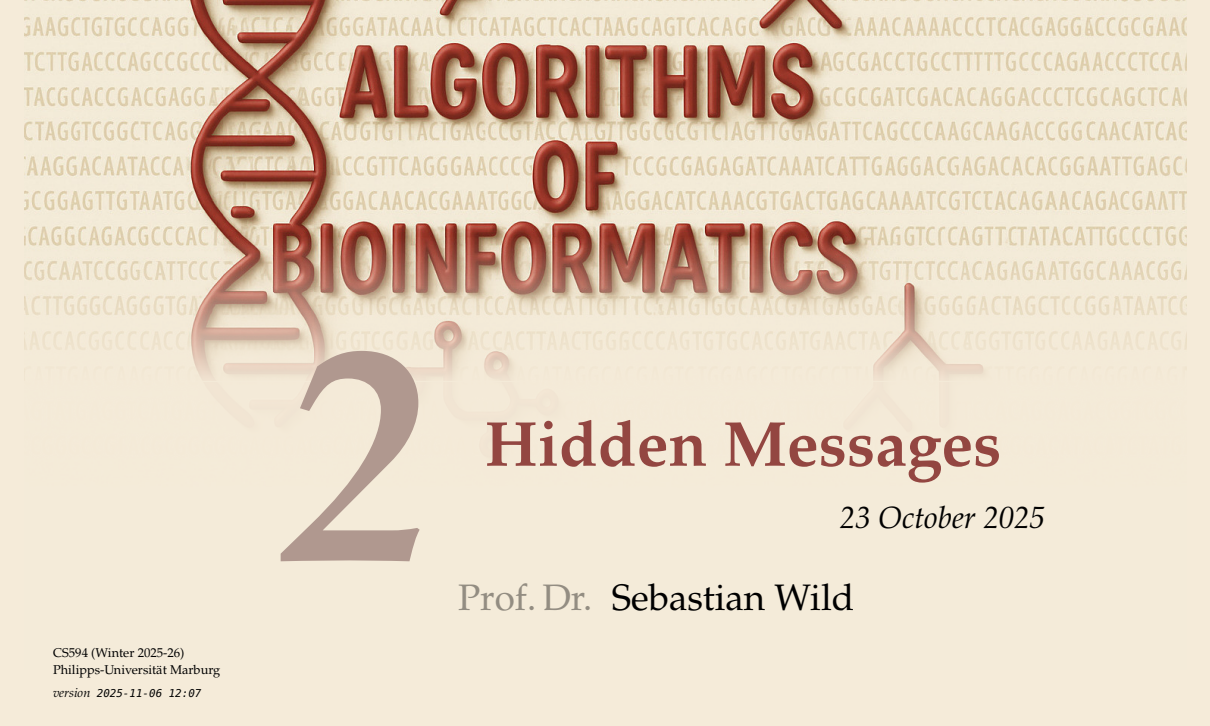




ALGORITHMS OF BIOINFORMATICS

Hidden Messages

23 October 2025

Prof. Dr. Sebastian Wild

2.1 Biology Big Picture

Biology

- ▶ *biology* = the scientific study of *living* things
 - ▶ originally *naturalists*: individual people manually **observing** plants and animals
e. g., *Darwin's finches*
 - ▶ gradually more scientific: controlled experiments, isolated mechanisms
e. g., *Mendel's inheritance experiments on peas*
 - ▶ gradually more focus on molecular/chemical mechanisms: microscopes, biochemistry

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 - ▶ gradually more focus on molecular/chemical mechanisms: microscopes, biochemistry
 - ▶ now clear: fundamental mechanisms (and origins!) of life are microscopic
- ↪ fundamental mechanisms to be found in *molecular biology*

Bioinformatics

- ▶ 20th Century: discovery of DNA and genes
 - ▶ DNA stores information about biomolecules in **discrete form**
human genome: 3.055 billion letter string over alphabet $\{A, C, G, T\}$ (!)
 - ↪ genetic information can **copied** precisely
mutations are errors in the copying
 - ▶ double strands (backup!) and “coiling up” into chromosomes protects data
 - ▶ production of chemicals in living cells (*proteins*) is determined by *genes* (parts of DNA)



▶ Zoom in on DNA

<https://youtu.be/wZoz0rFluiw>

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- ~> *Life itself has inherently computational components!* 🤖



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↪ Computer science can contribute to the understanding these! ↪ *bioinformatics*



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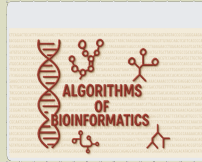
- ▶ But also: biology increasingly a data-centric field
 - ▶ much of knowledge discovery intrinsically reliant on computational analysis of collected data
 - ▶ e. g., reading the 3 billion letters of DNA is not possible with current lab techniques
 - ↪ use computers to puzzle it together (see *Sequencing Unit*)
 - ▶ “*in silico*” experiments

Collection of (more or less) Fun Sources

Collaborative Mindmap
on  infinity maps

- ▶ Share useful resources
- ▶ Structure knowledge hierarchically
- ▶ Link on Campuswire / ILIAS

*There's tons to learn,
new things discovered every day,
and it's about life itself!*



Algorithms of Bioinformatics

BIOLOGY MINDMAP & SOURCES

Microbiology



The Origin of Life



Bioinformatics Lectures



Pop science



Microscopy to watch



Cooperation



Molecular Biology 101

Molecular Biology (Britannica concise)

- ▶ concerned with chemical structures and processes of biological phenomena at the molecular level
- ▶ developed out of biochemistry, genetics, and biophysics
- ▶ particularly concerned with the study of **proteins**, nucleic acids, and enzymes

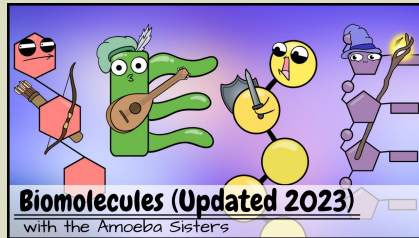
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Biology = lots of terminology and names . . .

We will focus on mechanisms over terms, but a bit of context helps
let's make it at least whimsical (and maybe memorable)

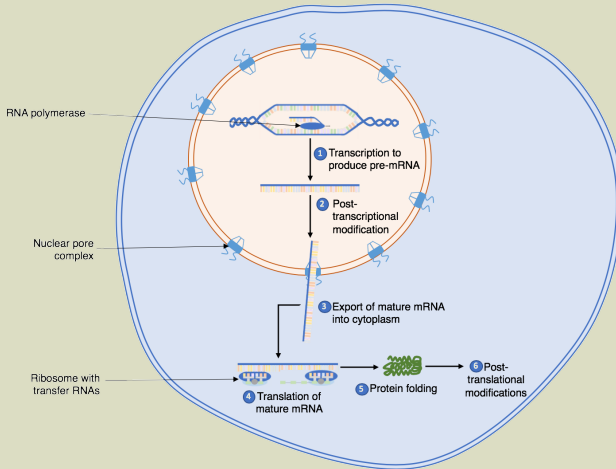


▶ Biomolecules (Updated 2023)
<https://youtu.be/1Dx7LDwINLU>

2.2 What are Genes?

The Central Dogma of Molecular Biology

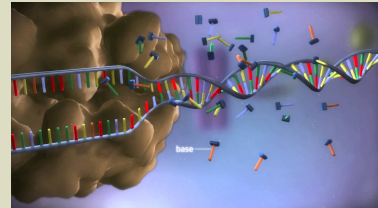
DNA makes RNA makes Protein



https://commons.wikimedia.org/wiki/File:Summary_of_the_protein_biosynthesis_process.png

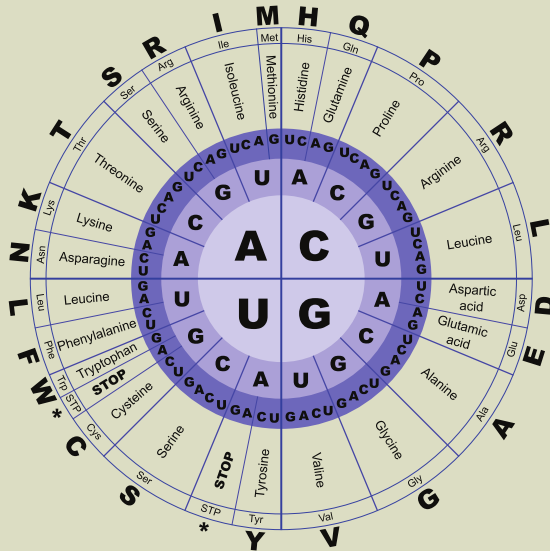
Protein Biosynthesis

- mechanism to produce *protein* according to recipe stored in a *gene*



► From DNA to protein - 3D
<https://youtu.be/gG7uCskU0rA>

Genetic Code



Compeau & Pevzner, *Bioinformatics Algorithms*, Fig. 4.1
<https://cogniterra.org/lesson/29910/step/2?unit=22007>

Within *ribosomes* (protein factories)

- ▶ translation
 - ▶ from RNA bases {A, C, G, U}
 - ▶ to amino acids (peptide)
{A, C, D, E, F, G, H, I, K, L, M, N, P, Q, R, S, T, V, W, Y}
- ▶ uses *transfer RNA*
“chemical finite state transducer”
- ▶ **Genetic Code:**
3-base *codons* → amino acid

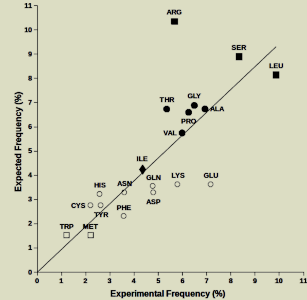
Inverse Codon Table

#Codons	Amino Acid (abbr.)		Codons
1	<i>Start</i>	>	AUG
4	Ala	A	GCU GCC GCA GCG
2	Cys	C	UGU UGC
2	Asp	D	GAU GAC
2	Glu	E	GAA GAG
2	Phe	F	UUU UUC
4	Gly	G	GGU GGC GGA GGG
2	His	H	CAU CAC
3	Ile	I	AUU AUC AUA
2	Lys	K	AAA AAG
6	Leu	L	CUU CUC CUA CUG UUA UUG
1	Met	M	AUG
2	Asn	N	AAU AAC
4	Pro	P	CCU CCC CCA CCG
2	Gln	Q	CAA CAG
6	Arg	R	CGU CGC CGA CGG AGA AGG
6	Ser	S	UCU UCC UCA UCG AGU AGC
4	Thr	T	ACU ACC ACA ACG
4	Val	V	GUU GUC GUA GUG
1	Trp	W	UGG
2	Tyr	Y	UAU UAC
3	<i>Stop</i>	<	UAA UAG UGA
1	Sec	U	(UGA)
1	Pyl	O	(UAG)

Inverse Codon Table

#Codons	Amino Acid (abbr.)	Codons
1	Start	>
4	Ala	A
2	Cys	C
2	Asp	D
2	Glu	E
2	Phe	F
4	Gly	G
2	His	H
3	Ile	I
2	Lys	K
6	Leu	L
1	Met	M
2	Asn	N
4	Pro	P
2	Gln	Q
6	Arg	R
6	Ser	S
4	Thr	T
4	Val	V
1	Trp	W
2	Tyr	Y
3	Stop	<
1	Sec	U
1	Pyl	O

Amino Acid Frequencies in Human Proteins



<https://doi.org/10.1371/journal.pone.0148174.g001>

Some amino acids have several codons
(most frequent amino acids receive strongest error protection!)

Inverse Codon Table

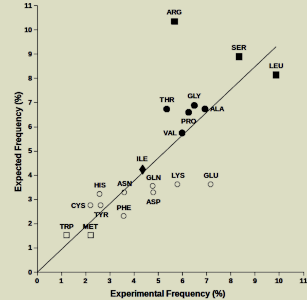
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3	Ile	I
2	Lys	K
6	Leu	L
1	Met	M
2	Asn	N
4	Pro	P
2	Gln	Q
6	Arg	R
6	Ser	S
4	Thr	T
4	Val	V
1	Trp	W
2	Tyr	Y
3	Stop	<
1	Sec	U
1	Pyl	O

AUG
GCU GCC GCA GCG
UGU UGC
GAU GAC
GAA GAG
UUU UUC
GGU GGC GGA GGG
CAU CAC
AUU AUC AUA
AAA AAG
CUU CUC CUA CUG UUA UUG

Some amino acids have several codons
(most frequent amino acids receive strongest error protection!)

(UGA) ← Sometimes, stop codon UGA instead codes 21st amino acid *Selenocystein*. . .
(UAG)

Amino Acid Frequencies in Human Proteins



<https://doi.org/10.1371/journal.pone.0148174.g001>

But:

- ▶ non-ribosomal peptides (proteins not made according to central dogma)
- ▶ epigenetics (which genes are expressed)
- ▶ horizontal gene transfer (change genome during lifetime)
- ▶ retro viruses (inserts its one genes into host's genome!)
- ▶ proteins are also not the only active molecules (e. g., functional RNA)

Life finds a way . . . or a few dozen, just to be sure

2.3 Gene Detection

How can we find genes?

Recall: Gene = protein-coding region of DNA



Central options:

1. *ab initio* ("from the beginning"): just using the DNA
 - ▶ search for start (AUG) and stop codons (UAA, UAG, UGA) $\rightsquigarrow$ open reading frame
 - ▶ search for promoter binding sites (docking station for transcription molecules)
 - ▶ bias of base frequencies in coding vs non-coding regions (hidden Markov models)
2. extrinsic methods: using additional (lab) data
 - ▶ e.g. sequencing messenger RNA from live cells (many more options)
 - ▶ comparison of genome to other species with known genes

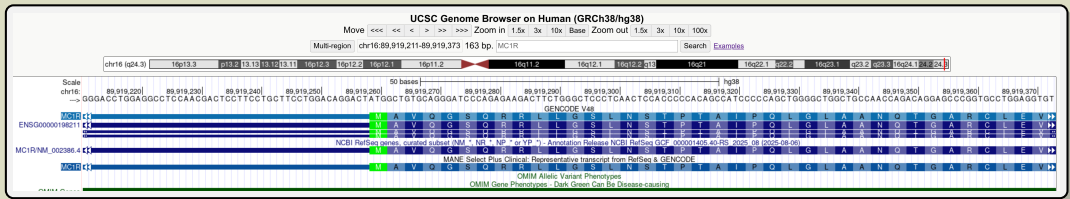
Focus for today: Ab initio options

Why should there be any hope of finding hidden messages?

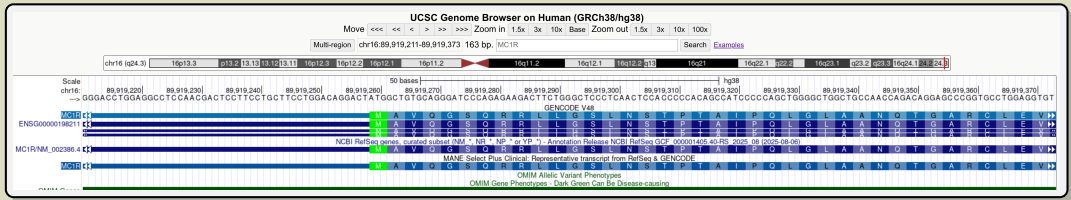
- ▶ Evolution!
 - ▶ Random mutations always at play
 - ▶ If functional part becomes dysfunctional, individual does not produce offspring
 - ▶ other parts might be subject to random modifications
- ↪ *signal*: property in a text that is unlikely to be present in random strings (noise)
- ↪ noise / *null model*: unused DNA is random

2.4 Waiting for Words

How big are genes?



How big are genes?



- ▶ only ~10% of human genome are genes
- ▶ length of (human) genes highly variable
 - ▶ shortest known gene (*U7 snRNA*) has only 63 bp
 - ▶ longest gene (dystrophin) over 2M bp
 - ▶ but: 99% of that are *introns* (cut out before translation)!
 - “split genes”
 - ↔ transcription takes several hours (!)
- ▶ more typical: ~20K bp

base pairs (DNA strands!)



UCSC Genome Browser on Human (GRCh38/hg38)

Move <<< << < > >> >>> Zoom In 1.5x 3x 10x Base Zoom Out 1.5x 3x 10x 100x

Multi-region chr16:89,919,211-89,919,373 163 bp. MC1R

Search [Examples](#)

chr16 (p24.3) 16p13.3 p13.2 13.13 13.12 13.11 16p12.3 16p12.2 16p12.1 16p11.2 16q11.2 16q12.2 16q12.1 16q21.1 16q21.2 16q21.3 16q21.4 16q21.5 16q21.6 16q21.7 16q21.8 16q21.9 16q21.10 16q21.11 16q21.12 16q21.13 16q21.14 16q21.15 16q21.16 16q21.17 16q21.18 16q21.19 16q21.20 16q21.21 16q21.22 16q21.23 16q21.24 16q21.25 16q21.26 16q21.27 16q21.28 16q21.29 16q21.30 16q21.31 16q21.32 16q21.33 16q21.34 16q21.35 16q21.36 16q21.37 16q21.38 16q21.39 16q21.40 16q21.41 16q21.42 16q21.43 16q21.44 16q21.45 16q21.46 16q21.47 16q21.48 16q21.49 16q21.50 16q21.51 16q21.52 16q21.53 16q21.54 16q21.55 16q21.56 16q21.57 16q21.58 16q21.59 16q21.60 16q21.61 16q21.62 16q21.63 16q21.64 16q21.65 16q21.66 16q21.67 16q21.68 16q21.69 16q21.70 16q21.71 16q21.72 16q21.73 16q21.74 16q21.75 16q21.76 16q21.77 16q21.78 16q21.79 16q21.80 16q21.81 16q21.82 16q21.83 16q21.84 16q21.85 16q21.86 16q21.87 16q21.88 16q21.89 16q21.90 16q21.91 16q21.92 16q21.93 16q21.94 16q21.95 16q21.96 16q21.97 16q21.98 16q21.99 16q21.100

Scale 50 bases hg38

chr16: 89,919,220 89,919,230 89,919,240 89,919,250 89,919,260 89,919,270 89,919,280 89,919,290 89,919,300 89,919,310 89,919,320 89,919,330 89,919,340 89,919,350 89,919,360 89,919,370

→ GGGACCTGGAGGCCCTCAACGACTCGCTTCGCTCGTGGACAGGAGTATGGCTGTGCAGGATGTCAGAGAAAGACTTCTGGGCTCTGCTCAACTGCAAGCCCCACAGGCATCCCCACGCTGGGGCTGGCTGCCAACGACAGCAGGAGCCCGGTGCTTGGAGGTGT

GENCODE V48

MC1R **+** M A V O G S Q R R L L G S L N S T P T A I P O L G L A A N G T G A R C L E V

ENSG00000198211 **+** M A V O G S Q R R L L G S L N S T P T A I P O L G L A A N G T G A R C L E V

NCBI RefSeq gene, curated subset NM_1_151_1P_1 (a V P 1) Annotation Release NCBI RefSeq GCF_000001405.40-RS_2025_08 (2025-08-08)

MC1RNM_002386.4 **+** M A V O G S Q R R L L G S L N S T P T A I P O L G L A A N G T G A R C L E V

MANE Select Plus Clinical: Representative transcript from RefSeq & GENCODE

MC1R **+** M A V O G S Q R R L L G S L N S T P T A I P O L G L A A N G T G A R C L E V

OMIM Allelic Variant Phenotypes

OMIM Gene Phenotypes - Dark Green Can Be Disease-causing

- 
- # MC1R
- The magazine
for redheads
- #6
- Anno Ersmokovs**
AN INTERVIEW WITH THE
TOP REDHEADS OF THE
- Sensoren Club**
WELCOME TO THE
REAL LIFE
- Origins**
THE GENETIC CODE
OF RED HAIR

11

Open Reading Frame Gene Detection

- ▶ *Random RNA Model:* String $D[0..N)$ generated i.i.d. uniformly
i. e., each $D[i] \stackrel{\mathcal{D}}{=} \text{Uniform}(\{A, C, G, T\})$
- ▶ *Random Open Reading Frame:* How many bp should we expect in **random RNA**
between occurrences of the start codon **ATG**
and **first** occurrence of any stop codon (**TAA, TAG, TGA**)?
(Recall: U in mRNA is T in DNA)

Clicker Question

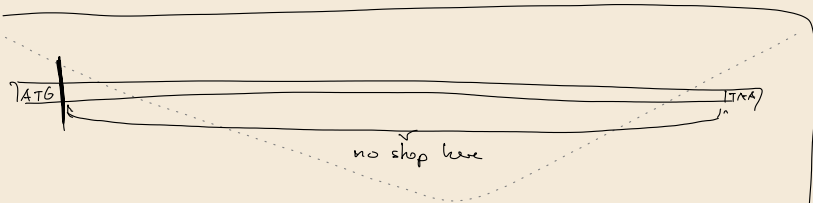


In a string of random (i.i.d. uniform) DNA, what is the expected length of an open reading frame?



→ *sl.i.do/cs594*

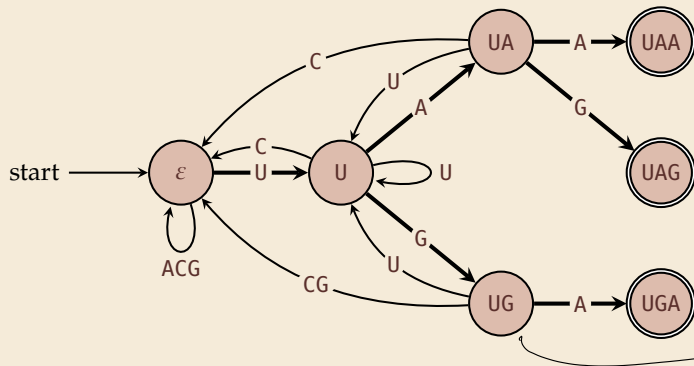
Back-of-the-envelope



$$P \left[\boxed{\begin{array}{|c|c|c|} \hline 2 & ? & ? \\ \hline \end{array}} \in \{TAA, TGA, TAG\} \right] = \frac{3}{64} \approx 0.05$$

maybe ≈ 20 codons before stop
 ≈ 60 bp

Stop Codon automaton

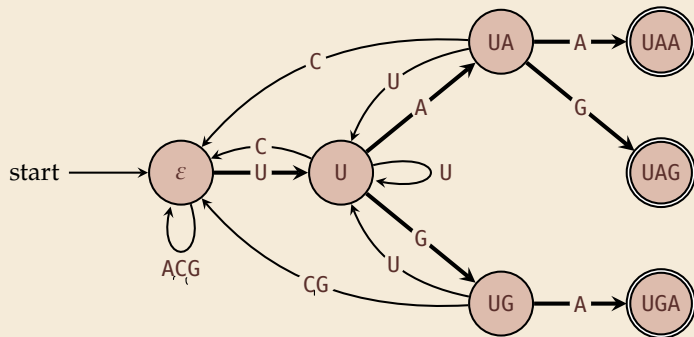


(Aho-Corasick
string-matching
automaton)

After seeing a start codon AUG, we accept the language of all strings that

- ▶ end with a stop codon **and**
- ▶ do not contain a stop codon earlier.

Stop Codon automaton

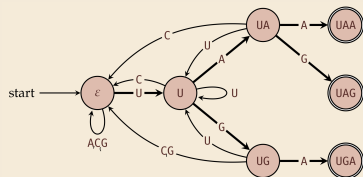


Expected number of
characters from state q
to some accepting state.
(We want q_ϵ)

$T_x :=$ expected # chars
from q_x to $\odot$

After seeing a start codon **AUG**, we accept the language of all strings that

- ▶ end with a stop codon **and**
- ▶ do not contain a stop codon earlier.



$$T_{UAA} = T_{UAC} = T_{UCA} = 0$$

$$T_{UA} = \frac{1}{4} \cdot T_{UAA} + \frac{1}{4} T_{UAG} + \frac{1}{4} T_U + \frac{1}{4} T_\epsilon + 1$$

$$T_{UG} = \frac{1}{4} T_{UGA} + \frac{1}{4} T_U + \frac{1}{2} T_\epsilon + 1$$

⋮

$$T_\epsilon = \frac{64}{3} = 21.\bar{3} \ll 60$$

2.5 Probability Generating Functions

Probability Generating Functions

*Expected values do not tell the full story . . . can we get at the **distribution**?*

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*Expected values do not tell the full story . . . can we get at the **distribution**?*

Definition 2.1 (pgf)

For $X \in \mathbb{N}_{\geq 0}$ a random variable, define its **probability generating function (pgf)** as

$\hookrightarrow$
chars

$$G_X(z) = \sum_{k \geq 0} \mathbb{P}[X = k] \cdot z^k$$



Probability Generating Functions

Expected values do not tell the full story ... can we get at the *distribution*?

Definition 2.1 (pgf)

For $X \in \mathbb{N}_{\geq 0}$ a random variable, define its *probability generating function (pgf)* as

$$G_X(z) = \sum_{k \geq 0} \mathbb{P}[X = k] \cdot z^k$$

$$\mathbb{E}[X] = \sum_{k \geq 0} \mathbb{P}[X = k] \cdot k$$

$$G'_X(z) = \sum_{k \geq 0} \frac{d}{dz} \mathbb{P}[X = k] \cdot z^k = \mathbb{P}[X = k] \cdot k \cdot z^{k-1}$$

Lemma 2.2 (Moments from pgf)

1. The expected value of X is $\boxed{\mathbb{E}[X] = G'_X(1)}$

2. The variance of X is $\boxed{\text{Var}[X] = G''_X(1) + G'_X(1) - (G'_X(1))^2}$

$$\frac{d^2}{dz^2} \mathbb{P}[X = k] \cdot z^k = \mathbb{P}[X = k] k \cdot (k-1) z^{k-2} \quad (k \geq 2)$$

$$\text{Var}[X] = \mathbb{E}[(X - \mathbb{E}[X])^2] = \sum_{k \geq 0} \mathbb{P}[X = k] (k - \mathbb{E}[X])^2 = \sum_{k \geq 0} \mathbb{P}[X = k] (k^2 - 2k \mathbb{E}[X] + \mathbb{E}[X]^2)$$

$$\begin{aligned}
&= \sum_{k \geq 0} \underbrace{P(X=k)}_{k^2 = k(k-1) + k} k^2 - 2 E[X] \underbrace{\sum_{k \geq 0} P(X=k) k}_{E[X]^2} + E[X]^2 \underbrace{\sum_{k \geq 0} P(X=k)}_{=1} \\
&= E[X^2] - 2 E[X]^2 + E[X]^2 = E[X^2] - E[X]^2
\end{aligned}$$

Example: Uniform Distribution

$U_n \stackrel{\mathcal{D}}{=} \text{Uniform}([0..n))$ (each value $u \in [0..n)$ with prob. $\frac{1}{n}$)

$$\rightsquigarrow \text{pgf: } U_n(z) = \frac{1}{n}(z^0 + z^1 + \cdots + z^{n-1})$$

Example: Uniform Distribution

$U_n \stackrel{\mathcal{D}}{=} \text{Uniform}([0..n))$ (each value $u \in [0..n)$ with prob. $\frac{1}{n}$)

$$\rightsquigarrow \text{pgf: } U_n(z) = \frac{1}{n} (z^0 + z^1 + \cdots + z^{n-1}) = \frac{1}{n} \frac{z^n - 1}{z - 1} \quad (n \geq 1)$$

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$$\blacktriangleright \mathbb{E}[U_n] = U'_n(1) = \frac{n-1}{2}$$

$$\blacktriangleright \text{Var}[U_n] = U''_n(1) + U'_n(1) - U'_n(1)^2 = \frac{n^2 - 1}{12}$$

Operations of pgfs

Lemma 2.3 (pgf of ind. r.v.)

Let $X, Y \in \mathbb{N}_{\geq 0}$ be *independent* random variables. Then $G_{X+Y}(z) = G_X(z) \cdot G_Y(z)$ ◀

$$\left(\sum_{k \geq 0} a_k z^k \right) \cdot \left(\sum_{k \geq 0} b_k z^k \right) = \sum_{k \geq 0} z^k \sum_{\ell=0}^k a_\ell b_{k-\ell}$$

convolution

Operations of pgfs

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Let $X, Y \in \mathbb{N}_{\geq 0}$ be *independent* random variables. Then $G_{X+Y}(z) = G_X(z) \cdot G_Y(z)$ ◀

► For $X_i \stackrel{\mathcal{D}}{=} B(p)$ ^{independent} (1 with prob. p , 0 otherwise)
we have $G_{X_i}(z) = pz + (1-p)z^0 = p(z-1) + 1$

► $Y = X_1 + \cdots + X_n \stackrel{\mathcal{D}}{=} \text{Bin}(n, p)$
we have $G_Y(z) = \prod_{i=1}^n G_{X_i}(z) = (pz + 1 - p)^n$

Operations of pgfs

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Let $X, Y \in \mathbb{N}_{\geq 0}$ be *independent* random variables. Then $G_{X+Y}(z) = G_X(z) \cdot G_Y(z)$ ◀

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we have $G_{X_i}(z) = pz + (1-p)z^0 = p(z-1) + 1$

► $Y = X_1 + \dots + X_n \stackrel{\mathcal{D}}{=} \text{Bin}(n, p)$
we have $G_Y(z) = \prod_{i=1}^n G_{X_i}(z) = (pz + 1 - p)^n$

$$\rightsquigarrow \mathbb{E}[Y] = G'_Y(1) = p \cdot n(pz + 1 - p)^{n-1} \Big|_{z=1} = np$$

Operations of pgfs

Lemma 2.3 (pgf of ind. r.v.)

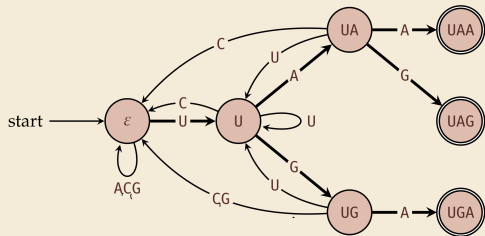
Let $X, Y \in \mathbb{N}_{\geq 0}$ be *independent* random variables. Then $G_{X+Y}(z) = G_X(z) \cdot G_Y(z)$ ◀

► For $X_i \stackrel{\mathcal{D}}{=} B(p)$ (1 with prob. p , 0 otherwise)
we have $G_{X_i}(z) = pz + (1-p)z^0 = p(z-1) + 1$

► $Y = X_1 + \dots + X_n \stackrel{\mathcal{D}}{=} \text{Bin}(n, p)$
we have $G_Y(z) = \prod_{i=1}^n G_{X_i}(z) = (pz + 1 - p)^n$

$$\rightsquigarrow \mathbb{E}[Y] = G'_Y(1) = p \cdot n(pz + 1 - p)^{n-1} \Big|_{z=1} = np$$

► $\text{Var}[Y] = G''_Y(1) + G'_Y(1) - G'_Y(1)^2 = p^2 n(n-1) + np - (np)^2 = np - np^2 = np(1-p)$



X_p = # steps from state p to $\odot$

$G_p(z)$ = pgf of X_p

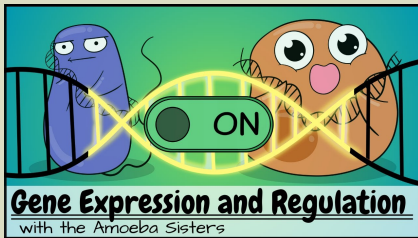
$$G_{UAA}(z) = 1$$

$$\begin{aligned}
 G_{UA}(z) &= \frac{1}{4} \cdot z \cdot G_{UAA}(z) \\
 &\quad + \frac{1}{4} z G_{UAG}(z) \\
 &\quad + \frac{1}{4} z \cdot G_U(z) \\
 &\quad + \frac{1}{4} z G_\epsilon(z)
 \end{aligned}$$

2.6 Motif finding

Gene regulation

- ▶ For gene expression, *RNA polymerase* needs to bind to DNA at beginning of a gene (to start transcription of gene in DNA into messenger RNA)
- ▶ *promoter* molecule can **stop transcription** by binding to this start
 - ↪ RNA polymerase can't bind ↪ no mRNA created ↪ no protein made
- ↪ *negative control*
- ▶ can also have promoters **enable** or **encourage** transcription ↪ *positive control*



▶ Gene Expression and Regulation
<https://youtu.be/ebIpkw3XapE>

Clock Genes in Plants

- ▶ most living beings have a *circadian rhythm*

Who controls that?

“roughly 24h”

(more details in Chapter 2 of *Bioinformatics Algorithms*)

- ▶ in plants, negative feedback loop of 3 proteins
 1. *TOC1* **promotes** expression of *LHY* and *CCA1*
 2. sunlight triggers transcription *LHY* and *CCA1*
 3. *LHY* and *CCA1* **repress** expression of *TOC1*
 4. without sunlight, *LHY* and *CCA1* production diminishes
 5. *TOC1* no longer blocked, can accumulate at night
 6. *TOC1* triggers expression of *LHY* and *CCA1* (ahead of light!)
- ▶ *TOC1*, *CCA1*, and *LHY* turn other genes on or off (*promoters*)

Clock Genes in Plants

- ▶ most living beings have a *circadian rhythm*

Who controls that?

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6. *TOC1* triggers expression of *LHY* and *CCA1* (ahead of light!)

- ▶ *TOC1*, *CCA1*, and *LHY* turn other genes on or off (*promoters*)

same substring

↪ genes with day/night rhythm should have **repeated binding sites**
for *TOC1/CCA1/LHY*!

↪ called a *motif*

Motif Finding

Typical complication in bioinformatics: Nothing is exact . . .

Motif Finding

Typical complication in bioinformatics: Nothing is exact ...

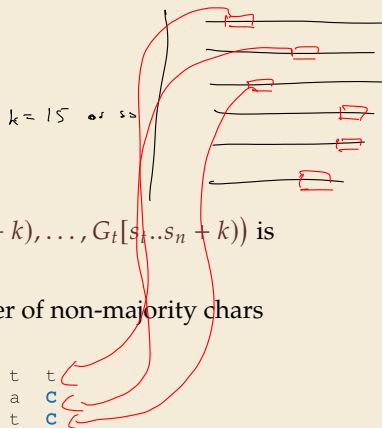
CONSENSUS PATTERN PROBLEM

- **Given:** Collection of strings $G_1, \dots, G_t \in \Sigma^n$, integer k
- **Goal:** Offsets $s_1, \dots, s_t \in [0..n - k]$ such that $d_H(G_1[s_1..s_1 + k], \dots, G_t[s_t..s_t + k])$ is minimized
- $d_H(T_1, \dots, T_n) = \text{"total Hamming distance"} = \text{total number of non-majority chars}$

d_H motif score

	T	C	G	G	G	G	g	T	T	T	t	t
	c	C	G	G	t	G	A	c	T	T	a	C
	a	C	G	G	G	G	A	T	T	T	t	C
	T	t	G	G	G	G	A	c	T	T	t	t
Motifs	a	a	G	G	G	G	A	c	T	T	C	C
	T	t	G	G	G	G	A	c	T	T	C	C
	T	C	G	G	G	G	A	T	T	c	a	t
	T	C	G	G	G	G	A	T	T	c	C	t
	T	a	G	G	G	G	A	a	c	T	a	C
	T	C	G	G	G	t	A	T	a	a	C	C

SCORE(Motifs) 3 + 4 + 0 + 0 + 1 + 1 + 1 + 5 + 2 + 3 + 6 + 4 = 30



Compeau & Pevzner, *Bioinformatics Algorithms*, Fig. 2.2

<https://cogniterra.org/lesson/29868/step/27unit=21966>

Median String

► Equivalently:

$$d_H(T_1, \dots, T_t) = \sum_{i=1}^t d_H(\bar{T}, T_t)$$

for the *consensus string* $\bar{T}$:

for all $j \in [0..n]$:

$\bar{T}[j] = \text{majority}(T_1[j], \dots, T_t[j])$

Motif with consensus and profile

	T	C	G	G	G	G	g	T	T	T	t	t	3
	c	C	G	G	t	G	A	c	T	T	a	C	
	a	C	G	G	G	G	A	T	T	T	t	C	
	T	t	G	G	G	G	A	c	T	T	t	t	
Motifs	a	a	G	G	G	G	A	c	T	T	C	C	
	T	t	G	G	G	G	A	c	T	T	C	C	
	T	C	G	G	G	G	A	T	T	c	a	t	
	T	C	G	G	G	G	A	T	T	c	C	t	
	T	a	G	G	G	G	A	a	c	T	a	C	
	T	C	G	G	G	t	A	T	a	a	C	C	
(Motifs)	3 + 4 + 0 + 0 + 1 + 1 + 1 + 5 + 2 + 3 + 6 + 4 = 30												
(Motifs)	A:	2	2	0	0	0	0	9	1	1	1	3	0
	C:	1	6	0	0	0	0	0	4	1	2	4	6
	G:	0	0	10	10	9	9	1	0	0	0	0	0
	T:	7	2	0	0	1	1	0	5	8	7	3	4
(Motifs)	A:	.2	.2	0	0	0	0	.9	.1	.1	.1	.3	0
	C:	.1	.6	0	0	0	0	0	.4	.1	.2	.4	.6
	G:	0	0	1	1	.9	.9	.1	0	0	0	0	0
	T:	.7	.2	0	0	.1	.1	0	.5	.8	.7	.3	.4
(Motifs)	T	C	G	G	G	G	A	T	T	T	C	C	



Bad News

- ▶ CONSENSUS PATTERN PROBLEM is **NP**-hard (even for binary alphabet)



Elias: *Settling the Intractability of Multiple Alignment*, J. of Computational Biology 2006

- ▶ even $W[1]$ -hard for parameter $\overset{1}{\cancel{n}}$ (# strings) unbounded alphabet size

Brute-Force Options

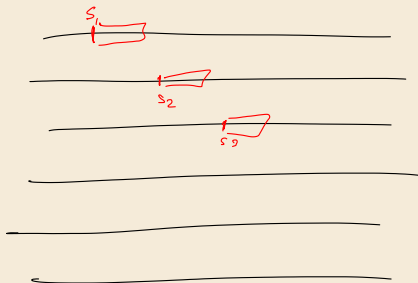
There are two brute-force options:

1. Try all combinations of starting indices

► Each $s_i \in [0..n - k] \rightsquigarrow$ search space $(n - k + 1)^t$

► Computing score for each is effort $O(t \cdot k)$

$\rightsquigarrow$ Total cost $O(n^t t k)$ ($k \ll n, t$)



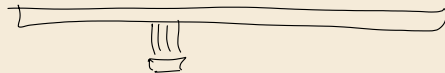
Brute-Force Options

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- ▶ Computing score for each is effort $O(t \cdot k)$

$\rightsquigarrow$ Total cost $O(n^t t k)$ ($k \ll n, t$)



2. Try all consensus strings.

- ▶ Try all $\bar{T} \in \Sigma^k \rightsquigarrow$ search space σ^k (for $\sigma = |\Sigma|$)
- ▶ for each, $\bar{T}$ and each string G_i , find best $s_i \rightsquigarrow t \cdot (n - k + 1)$ options

Note: Crucial that for given $\bar{T}$, scores from G_i are independent

$\rightsquigarrow$ Total cost $O(\sigma^k t n)$ ($k \ll n, t$)

Brute-Force Options

There are two brute-force options:

1. Try all combinations of starting indices

- ▶ Each $s_i \in [0..n - k] \rightsquigarrow$ search space $(n - k + 1)^t$
- ▶ Computing score for each is effort $O(t \cdot k)$

$\rightsquigarrow$ Total cost $O(n^t t k)$ ($k \ll n, t$)

2. Try all consensus strings.

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Note: Crucial that for given $\bar{T}$, scores from G_i are independent

$\rightsquigarrow$ Total cost $O(\sigma^k t n)$ ($k \ll n, t$)

Better, but still not feasible for $t \geq 15$ (or so) and $\sigma = 4 \dots$

2.7 Local search heuristics

Heuristic motif finding

Exact solutions for CONSENSUS PATTERN PROBLEM seem out of reach.

↪ Give up optimality guarantee.

heuristic

≠

approximation



Greedy Incremental

1 **procedure** greedyMotif($G_1, \dots, G_t, k$)

2 $s_1^*, \dots, s_t^* := 0$ // best so far

3 **for** $s_1 := 0, \dots, n - k$ // try all s_1

4 **for** $i := 2, \dots, t$

5 Compute profile $P[0..k]$ from $G_j[s_j..s_j + k]$ **for** $j \in [1..i)$

6 $s_i := \arg \max_s \mathbb{P}[G_i[s..s + k] \mid P]$ // most likely next row

7 **if** $d_H(G_1[s_1..s_1 + k], \dots, G_t[s_t..s_t + k]) < d_H(G_1[s_1^*..s_1^* + k], \dots, G_t[s_t^*..s_t^* + k])$

8 $s_1^*, \dots, s_t^* := s_1, \dots, s_t$ // better

9 **return** $s_1^*, \dots, s_t^*$

$$\mathbb{P} \left[\begin{array}{c|ccc} & A & C & \\ \hline A & 0.5 & 0.2 & 0.4 \\ C & 0.2 & 0.4 & 0.2 \\ G & 0.2 & 0.1 & 0.2 \\ T & 0.1 & 0.1 & 0.1 \end{array} \right]$$

$$= 0.5 \cdot 0.2 \cdot 0.2$$



LaPlace

start counting at 1 instead of 0
removes 0s from profile

Motif with consensus and profile

Motifs

T	C	G	G	G	G	g	T	T	T	t	t
c	C	G	G	G	t	G	A	c	T	T	a
a	C	G	G	G	G	A	T	T	T	T	C
T	t	G	G	G	G	A	c	T	T	T	t
a	a	G	G	G	G	A	c	T	T	C	C
T	t	G	G	G	G	A	c	T	T	C	C
T	C	G	G	G	G	A	T	T	c	a	t
T	C	G	G	G	G	A	T	T	c	C	C
T	a	G	G	G	G	A	a	c	T	a	C
T	C	G	G	G	t	A	T	a	a	C	C

SCORE(Motifs) $3 + 4 + 0 + 0 + 1 + 1 + 1 + 5 + 2 + 3 + 6 + 4 = 30$

COUNT(Motifs)

A:	2	2	0	0	0	0	9	1	1	1	3	0
C:	1	6	0	0	0	0	0	4	1	2	4	6
G:	0	0	10	10	9	9	1	0	0	0	0	0
T:	7	2	0	0	1	1	0	5	8	7	3	4

PROFILE(Motifs)

A:	.2	.2	0	0	0	0	.9	.1	.1	.1	.3	0
C:	.1	.6	0	0	0	0	0	.4	.1	.2	.4	.6
G:	0	0	1	1	.9	.9	.1	0	0	0	0	0
T:	.7	.2	0	0	.1	.1	0	.5	.8	.7	.3	.4

CONSENSUS(Motifs) T C G G G G A T T T C C



Greedy Incremental

```
1 procedure greedyMotif( $G_1, \dots, G_t, k$ )
2    $s_1^*, \dots, s_t^* := 0$  // best so far
3   for  $s_1 := 0, \dots, n - k$  // try all  $s_1$ 
4     for  $i := 2, \dots, t$ 
5       Compute profile  $P[0..k]$  from  $G_j[s_j..s_j + k]$  for  $j \in [1..i)$ 
6        $s_i := \arg \max_s \mathbb{P}[G_i[s..s + k] \mid P]$ 
7       if  $d_H(G_1[s_1..s_1 + k], \dots, G_t[s_t..s_t + k]) < d_H(G_1[s_1^*..s_1^* + k], \dots, G_t[s_t^*..s_t^* + k])$ 
8          $s_1^*, \dots, s_t^* := s_1, \dots, s_t$  // better
9   return  $s_1^*, \dots, s_t^*$ 
```



deterministic



highly sensitive to order of genomes ...



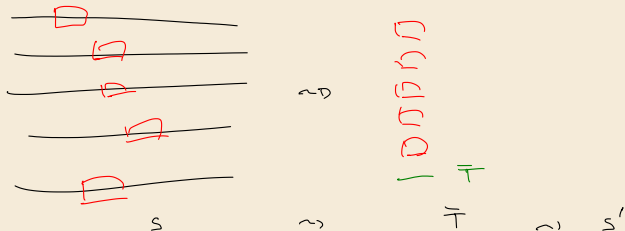
easy to get stuck in local optimum (wrt to order)

Hill Climbing

```

1 procedure randomLocalSearch( $G_1, \dots, G_t, k$ )
2   Randomly choose  $s_1, \dots, s_t \in [0..n - k]$ 
3    $s_1^*, \dots, s_t^* := s_1, \dots, s_t$  // Remember best so far
4   repeat forever
5     Compute profile  $P[0..k]$  from  $G_j[s_j..s_j + k)$  for  $j \in [1..t]$ 
6     for  $i := 1, \dots, t$ :
7        $s_i := \arg \max_s \mathbb{P}[G_i[s..s + k) \mid P]$ 
8     if  $d_H(G_1[s_1..s_1 + k), \dots, G_t[s_t..s_t + k)) < d_H(G_1[s_1^*..s_1^* + k), \dots, G_t[s_t^*..s_t^* + k))$ 
9        $s_1^*, \dots, s_t^* := s_1, \dots, s_t$ 
10    else
11      return  $s_1^*, \dots, s_t^*$ 

```



Hill Climbing

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1 procedure randomLocalSearch( $G_1, \dots, G_t, k$ )
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9        $s_1^*, \dots, s_t^* := s_1, \dots, s_t$ 
10    else
11      return  $s_1^*, \dots, s_t^*$ 
```



deterministic for a given starting point



always terminates in local optimum

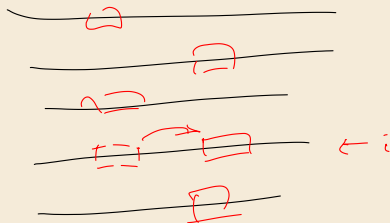


must be repeated many times to not be stuck in bad local optimum

$\Rightarrow$ repeat
and maybe
stop will climb
early

Gibbs Sampler

```
1 procedure gibbsSampler( $G_1, \dots, G_t, k, R$ )
2   Randomly choose  $s_1, \dots, s_t \in [0..n - k]$ 
3    $s_1^*, \dots, s_t^* := s_1, \dots, s_t$  // Remember best so far
4   repeat  $R$  times:
5      $i := \text{random } [1..t]$ 
6     Compute profile  $P[0..k]$  from  $G_j[s_j..s_j + k)$  for  $j \in [t] \setminus \{i\}$ 
7      $s_i := \text{random in } [0..n - k]$  w/p  $\propto \mathbb{P}[G_i[s..s + k) \mid P]$ 
8     if  $d_H(G_1[s_1..s_1 + k), \dots, G_t[s_t..s_t + k]) < d_H(G_1[s_1^*..s_1^* + k), \dots, G_t[s_t^*..s_t^* + k])$ 
9        $s_1^*, \dots, s_t^* := s_1, \dots, s_t$  // better
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```



Gibbs Sampler

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7      $s_i := \text{random in } [0..n - k] \text{ w/p } \propto \mathbb{P}[G_i[s..s + k] \mid P]$ 
8     if  $d_H(G_1[s_1..s_1 + k], \dots, G_t[s_t..s_t + k]) < d_H(G_1[s_1^*..s_1^* + k], \dots, G_t[s_t^*..s_t^* + k])$ 
9        $s_1^*, \dots, s_t^* := s_1, \dots, s_t$  // better
10  return  $s_1^*, \dots, s_t^*$ 
```



Less prone to get stuck in local optima



still no performance guarantee